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Rethel's Lumped Constants

for

Small Irises

by

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Revisions to:

Bethe's Lumped Constants for Small Irises by Frederick B. Wood

Since preparation of these notes the following points have been clarified:

Page 5, last paragraph: Bethe rejects the  $\bar{K}\phi$  and  $(\gamma/\epsilon)\nabla_{\perp}^2\phi$  terms of equations (9) and  $\bar{K} \times \nabla_{\perp}\phi$  term of (10) in obtaining (15) and (16) on the basis that the differential contributions of these terms fail to satisfy the boundary conditions on the screen. He then, without explanation, doubles the value of  $\bar{K}_m$  and  $\gamma_m$ . The correct justification for this step is that although some differential elements in equations (9) and (10) fail to satisfy the boundary conditions, the integrated values (i.e. over the whole plane) satisfy the boundary conditions so that these terms cannot be discarded on the boundary condition argument. However in this problem the integrated contribution of  $\bar{K}, \gamma$  over the whole screen (including hole) equals the contribution of  $\bar{K}, \gamma_m$  over the plane (zero except in hole), hence the  $\bar{K}, \gamma$  terms can be replaced by equivalent  $\bar{K}_m, \gamma_m$  terms, which doubles  $\bar{K}_m, \gamma_m$  in equations (15) and (16).

Page 6, last paragraph: The above revision which is a more fundamental procedure substitutes multiplying  $\bar{K}_m(r')$  by two instead of dividing  $\bar{F}(0)$  by two, yet giving the same final results.

Page 7, second paragraph: The reversing of the sign of one field (on left) is unnecessary provided the logic of correction to page 5 is followed.

Page 7, footnote<sup>+</sup>: Bourgin<sup>17</sup> in the meantime pointed out that discontinuities in currents and charges around the edge of the hole were not accounted for. Bouwkamp<sup>3</sup> specified this condition mathematically in the form of equation (23).

Page 33A: "Indirect Measurement" means that the point for  $d=0.125"$  was for an iris on the output of a resonator instead of the input as was done with the other sizes. It is planned to make a more reliable measurement of this when the equipment is available again.

May 15, 1951.

F. B. Wood

## Bethe's Lumped Constants for Small Irises

The development of the theory of diffraction for small holes and application to irises in waveguides and cavity resonators, published by H. A. Bethe in M. I. T. Radiation Laboratory Reports W-155(128)<sup>1A</sup> and 43-22(194)<sup>2</sup>, is here summarized in rationalized M. K. S. units instead of the original Gaussian unrationalized units. It is not safe to simply transform Bethe's formulas from Gaussian unrationalized units to rationalized M. K. S. units, because he defines magnetic current density as  $\vec{K} = \frac{\vec{E} \times \vec{n}}{2\pi}$  yet there are some equations in 43-22 where the  $2\pi$  has been dropped and one equation where a  $4\pi$  required in the unrationalized system is omitted.

For the circular aperture the correct value of the H component of magnetic current given by Bouwkamp<sup>3</sup> is included. This error does not, however, change the radiation field and consequently it makes no change in the lumped constant polarizabilities obtained by Bethe.

As in 43-22, the equivalent magnetic and electric polarizabilities are used to obtain the Poynting vector, change of resonant frequency of a cavity, susceptance of an iris in a waveguide, and energy emitted from a cavity through aperture into free space and into a waveguide. These results differ from Bethe's results by functions of 2 and/or  $2\pi$  due to discrepancies mentioned above. The iris susceptance is compared with other reliable results. The frequency shift and  $Q_c$  (coupled Q) for coupling to  $TE_{10}$  waveguide from  $TM_{020}$  cavity resonator are compared with experimental data for which the correspondence is fair. (The experimental data is from cavity measurements which were not designed to give a direct test of Bethe's theory.)

An example of emission through an iris into free space is calculated numerically, which shows that Bethe's statement "That this power is about 25 times greater for emission into free space than for emission into a waveguide of customary dimensions" is not valid. No experimental data has been obtained to check this point.

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## 1. The Diffraction Problem.

The diffraction of electromagnetic waves by a small hole in an infinite plane conducting screen is studied first, since it is a simpler case of the problem of coupling from a resonant cavity to waveguide.

In the usual Kirchhoff method, the diffracted field is expressed in terms of the incident field in the hole, which, however, does not satisfy the boundary condition. A number of writers have set up a vector formulation of Kirchhoff's method,<sup>5</sup> but this still does not satisfy the boundary condition when the integrals are taken over the aperture only.<sup>6</sup> There does exist a rigorous solution by Sommerfeld for the diffraction of a semi-plane wave, with which comparisons can be made to check on the plausibility of the approximations. C. J. Bouwkamp<sup>7</sup> has obtained a series solution for the diffraction problem for which the correct relation of fields is the following:

In the aperture, the fields  $H_{z0}$  and  $E_{z0}$  are given as the expansion about the aperture of the field with no existing field on the screen. If there are no fields on either side, then  $H_{z0}$  and  $E_{z0}$  are the same on both sides of the aperture.

4. DIFFRACTION BY WILSON'S SCREEN



Consider an observation point at distance \$r\$ from the hole. The boundary condition is that \$u=0\$ on the screen \$z=0\$ (where \$y\$ is a real variable) and (2) \$\nabla^2 u + k^2 u = 0\$ in the region \$z > 0\$. The incident plane wave \$u\_0\$ satisfies the Helmholtz equation in the region \$z > 0\$. Then \$u\_p\$ is a particular solution of (2) of order

$$(3) \quad u_p(r) = \frac{1}{4\pi} \int_{-\infty}^{\infty} \frac{\partial u_0}{\partial z'} \phi(z') u_0(z') \frac{e^{-jk_r}}{r} dz' \quad \phi(z')$$

Consider (3) at \$z'=0\$ where \$u\_0\$ is not defined. The boundary condition at \$z'=0\$ is \$u=0\$, but \$\partial u/\partial z' = \infty\$ due to the hole. To avoid this, assume \$u\_0 = 0\$ in the hole and \$u\_0 = \frac{\partial u\_0}{\partial z'}\$ at the hole. Then,

$$(5) \quad u_p(z) = \frac{1}{4\pi} \left[ \frac{\partial u_0}{\partial z'} \phi(z) + u_0 \frac{\partial \phi(z)}{\partial z'} \right]$$

for small hole and large \$r\$.

There are two alternative assumptions:

(a) \$u\_0\$ inside = \$u\_0\$ outside, then \$u\_0 \neq 0\$, \$\frac{\partial u\_0}{\partial z'} = 0\$ in the hole, \$\frac{\partial \phi(z)}{\partial z'} = 0\$ for \$z > r\$ on screen.

(b) \$u\_0\$ inside = \$u\_0\$ outside + \$u\_0\$, then \$u\_0 = 0\$, \$\frac{\partial u\_0}{\partial z'} = 2 \frac{\partial u\_0}{\partial z'}\$ in hole, \$\frac{\partial \phi(z)}{\partial z'} = 0\$ for \$z > r\$ on screen.

So on screen at large \$r\$:

$$(a) \mu_{p,1}(r) = -\frac{A}{4\pi} \frac{\partial \mu_1}{\partial z'} \phi(r) \neq 0 \quad (b) \mu_{p,2}(r) = -\frac{A}{2\pi} \frac{\partial \mu_2}{\partial z'} \phi(r) \neq 0$$

Since  $\mu = \bar{E}_z$  a component of  $\bar{E} \times \bar{n}$ , the boundary condition  $\bar{E} \times \bar{n} = 0$  is violated.

Next we consider the vector equivalent of Poynting's Theorem by the direct integration of Maxwell's equation using the vector analogue of Green's Theorem as given by Stratton<sup>9</sup> or by Silver<sup>10</sup>.

Maxwell's equations and equations of continuity for  $\Omega \in \mathbb{R}^3(\omega t - kr)$

$$\begin{aligned} (a) \quad \nabla \times \bar{E} + j\omega\mu\bar{H} &= -\bar{J}_m & (b) \quad \nabla \cdot \bar{H} - j\omega\epsilon\bar{E} &= \bar{J} \\ (c) \quad \nabla \cdot \bar{H} &= \frac{j\omega\epsilon}{\mu} & (d) \quad \nabla \cdot \bar{E} &= \rho/\epsilon \\ (e) \quad \nabla \cdot \bar{J}_m + j\omega\epsilon_m &= 0 & (f) \quad \nabla \cdot \bar{J} + j\omega\rho &= 0 \end{aligned}$$

Using equations (103, 102, 111) of Silver we have at point  $r$ :

$$(a) \quad \bar{E}(r) = \begin{cases} -\frac{1}{4\pi\epsilon} \int_{\Omega} (\text{grad}' \phi + \bar{J}_m \times \nabla' \phi - \bar{J}_e \nabla' \phi) d\Omega' \\ + \frac{1}{4\pi\epsilon} \int_{\Sigma} [-\cos\mu(\bar{n} \cdot \bar{J} + j\omega\epsilon' \bar{n} \cdot \bar{E}) - \sin\mu(\bar{n} \cdot \bar{E})] \nabla' \phi d\Omega' \end{cases}$$

$$(b) \quad \bar{H}(r) = \begin{cases} -\frac{1}{4\pi\mu} \int_{\Omega} (\text{grad}' \psi + \bar{J}_e \times \nabla' \psi - \bar{J}_m \nabla' \psi) d\Omega' \\ + \frac{1}{4\pi\mu} \int_{\Sigma} [\sin\mu(\bar{n} \times \bar{J}) + (\bar{n} \cdot \bar{H}) \nabla' \psi - \cos\mu(\bar{n} \cdot \bar{H})] \nabla' \psi d\Omega' \end{cases}$$

The electric and magnetic fields at the surface of a perfectly conducting sphere are defined by

$$(c) \quad \begin{cases} \bar{K} = \bar{n} \cdot \bar{H} \\ \bar{K}_m = \bar{E} \times \bar{n} \end{cases} \quad \begin{cases} \eta_m = \frac{1}{\mu} \nabla \cdot \bar{H} \\ \eta_e = -\nabla \cdot \bar{E} \end{cases}$$

The electric and magnetic fields at the surface of a perfectly conducting sphere are defined by

$$\bar{K} = \frac{\bar{n} \times \bar{H}}{\mu} \quad \bar{K}_m = \frac{\bar{E} \times \bar{n}}{\mu} \quad \eta_m = \frac{1}{\mu} \nabla \cdot \bar{H} \quad \eta_e = -\nabla \cdot \bar{E}$$

Since in this problem, all sources are in the plane of the screen,  $(r, \theta)$  reduce to  $(\rho, \phi) = -\rho \hat{\phi}$

$$E(r) = \frac{1}{4\pi\epsilon_0} \int_S \frac{1}{r^2} \hat{r} \rho(r) \times \hat{r} d\tau = \frac{1}{4\pi\epsilon_0} \int_S \frac{1}{r^2} \hat{r} \rho(r) \times \hat{r} d\tau$$

$$H(r) = \frac{1}{4\pi\epsilon_0} \int_S \frac{1}{r^2} \hat{r} \rho(r) \times \hat{r} d\tau = \frac{1}{4\pi\epsilon_0} \int_S \frac{1}{r^2} \hat{r} \rho(r) \times \hat{r} d\tau$$

Equations (1) & (2) are vector integrals over the complete boundary of the region. The "vector equation" for the electric field that we assume is  $\vec{E} = -\nabla\phi$ . The boundary conditions are that  $\vec{E} \cdot \hat{n} = \sigma/\epsilon_0$  and  $\vec{E} \times \hat{n} = 0$ . The boundary conditions are that  $\vec{E} \cdot \hat{n} = \sigma/\epsilon_0$  and  $\vec{E} \times \hat{n} = 0$ . The boundary conditions are that  $\vec{E} \cdot \hat{n} = \sigma/\epsilon_0$  and  $\vec{E} \times \hat{n} = 0$ .



### 3. Mathematical Formulation and Boundary Conditions.

Let  $\vec{H}_0$  and  $\vec{E}_0$  be the standing wave field on the left hand side of the screen if there is no hole. The boundary condition at  $z=0$  is that

(11a)  $\vec{n} \cdot \vec{E}_0 = 0$

(11b) - which make  $\vec{H}_0, \vec{E}_0$

$H_{0\text{normal}}$  and  $E_{0\text{normal}}$  are in phase for  $\vec{n} \cdot \vec{E}_0 = 0$ . Similarly the diffracted field on the left is  $\vec{H}_1, \vec{E}_1$  and on the right by  $\vec{H}_2, \vec{E}_2$ . The total field with a hole in screen is

(12) 
$$\left. \begin{aligned} \vec{H} &= \vec{H}_0 + \vec{H}_1 \\ \vec{E} &= \vec{E}_0 + \vec{E}_1 \end{aligned} \right\} z < 0 \quad \left. \begin{aligned} \vec{H} &= \vec{H}_0 + \vec{H}_2 \\ \vec{E} &= \vec{E}_0 + \vec{E}_2 \end{aligned} \right\} z > 0$$

Applying (11) or (12) to the left side where there is no hole gives in the hole:

(13)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$



Applying (11) to the right side where there is no hole gives in the hole:

(14)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$

(15)  $\vec{n} \cdot \vec{H}_1 = 0, \vec{n} \cdot \vec{H}_2 = 0, \vec{n} \cdot \vec{H}_0 = 0, \vec{n} \cdot \vec{H} = 0$

(16)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$

(17)  $\vec{n} \cdot \vec{H}_1 = 0, \vec{n} \cdot \vec{H}_2 = 0, \vec{n} \cdot \vec{H}_0 = 0, \vec{n} \cdot \vec{H} = 0$

(18)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$

(19)  $\vec{n} \cdot \vec{H}_1 = 0, \vec{n} \cdot \vec{H}_2 = 0, \vec{n} \cdot \vec{H}_0 = 0, \vec{n} \cdot \vec{H} = 0$

(20)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$

(21)  $\vec{n} \cdot \vec{H}_1 = 0, \vec{n} \cdot \vec{H}_2 = 0, \vec{n} \cdot \vec{H}_0 = 0, \vec{n} \cdot \vec{H} = 0$

(22)  $\vec{n} \cdot \vec{E}_1 = 0, \vec{n} \cdot \vec{E}_2 = 0, \vec{n} \cdot \vec{E}_0 = 0, \vec{n} \cdot \vec{E} = 0$

(23)  $\vec{n} \cdot \vec{H}_1 = 0, \vec{n} \cdot \vec{H}_2 = 0, \vec{n} \cdot \vec{H}_0 = 0, \vec{n} \cdot \vec{H} = 0$

The problem now is to calculate  $\bar{E}_2, \bar{H}_2$  subject to b.c. (11a) on screen and (11a, 11b) in the hole. Bethe states: "These conditions are valid irrespective of size and shape of hole."<sup>2</sup> This statement of Bethe's has been reviewed by Silver<sup>13</sup> and these conditions (11) are found to correspond to the unique solution of the problem. Since  $\frac{1}{2} H_{0tan} = H_{ican}$  and  $\frac{1}{2} E_{0n} = E_{im}$  Eq. (14) is equivalent to this statement of Smythe: "When any form of electromagnetic wave strikes a thin plane perfectly conducting sheet of any shape, the normal electric and tangential magnetic fields of the original wave are unperturbed in the apertures."

Instead of assuming  $\bar{E}, \bar{H}$  in hole equal to the incident wave as in the Moff method, we shall set up some integral equations to be solved for  $\bar{K}, \eta, \bar{K}_m, \eta_m$  in the hole. From (11a)(9)(10) we see that  $\bar{K}, \eta$  generate  $\bar{E}(r), \bar{H}(r)$  which violate b.c. on screen. From (11a)(11b)  $\bar{K} = \frac{1}{2} \bar{n} \times \bar{H}_0 e, \eta = \frac{\epsilon}{2} \bar{n} \cdot \bar{E}_{0n}$  which means  $\bar{K}$  and  $\eta$  are already known. Similarly we see that  $\bar{K}_m, \eta_m$  generate  $\bar{E}(r), \bar{H}(r)$  which satisfy b.c. on screen and still are unknown to be determined (Only continuity is required by (13e, f)).

Using only the terms which (1) are not yet fixed in the hole and (2) satisfy b.c. on screen, we obtain eqs.  $\bar{K}_m, \eta_m$ . (9)(10) reduce to

$$(15) \quad \bar{E}(r) = \frac{1}{4\pi} \int_S \bar{K}_m \times \nabla_f \phi \, dS$$

$$(16) \quad \bar{H}(r) = -\frac{1}{4\pi} \int_S \left[ \omega \epsilon \bar{K}_m \phi + \frac{\eta_m}{N} \nabla_f \phi \right] dS$$

$S$  is the surface around hole in plane

<sup>13</sup> There may be some confusion in the literature with respect to the reference (13) and (14) which I have just mentioned.

has a problem for it. The boundary conditions are given for  $\vec{E}$  and  $\vec{H}$  which satisfy b. c. in hole (14). Putting  $\vec{E}$  and  $\vec{H}$  in terms of vector and scalar potentials as is done by Schenkoff<sup>16</sup>

$$\begin{aligned}
 (1) \quad (a) \quad \vec{E} &= (-j\omega\mu \vec{A} - \nabla V) - (\nabla \times \vec{F}) \\
 (b) \quad \vec{H} &= (\nabla \times \vec{A}) + \nabla U + j\omega\epsilon \vec{F} \\
 (c) \quad \vec{A} &= \int_V \frac{\vec{J} e^{-jkR}}{4\pi R} dV \quad (d) \quad V = \int_V \frac{q_v e^{-jkR}}{4\pi\epsilon R} dV \\
 (e) \quad \vec{F} &= \int_V \frac{\vec{M} e^{-jkR}}{4\pi R} dV \quad (f) \quad U = \int_V \frac{m_v e^{-jkR}}{4\pi\mu R} dV
 \end{aligned}$$

The exclusion of terms already fixed in hole and not satisfying b. c. on screen as in (15)(16) gives from (1):  $\vec{M} \cdot \vec{S} = \vec{K}_m$ ,  $dS = dV$ ;  $m_v = \vec{M} \cdot \vec{v}$

$$(18) \quad \vec{E}(r) = -\nabla \times \vec{F}$$

$$(19) \quad \vec{H}(r) = -\nabla U - j\omega\epsilon \vec{F}$$

$$(20) \quad \vec{F}(r) = \int_S \frac{\vec{K}_m(r') e^{-jk|r-r'|}}{4\pi|r-r'|} dS(r')$$

$$(21) \quad U(r) = \int_S \frac{\eta_m(r') e^{-jk|r-r'|}}{4\pi\mu|r-r'|} dS(r')$$

Equations (20)(21) are the integral equations to be solved for  $\vec{K}_m, \eta_m$  where  $\vec{F}(r)$  and  $U(r)$  are fixed by b.c. (14). A rigorous interpretation of (20) and (21) indicates that the integral is to be taken over the two boundary surfaces separated by the infinitesimal gap  $S$ . By b.c. (13e)(13) and opposite direction of normals on left and right:  $\vec{K}_m(0^-) = -\vec{K}_m(0^+)$ ;  $\eta_m(0^-) = -\eta_m(0^+)$ . This makes  $\vec{F}(0) = 0$ ,  $U(0) = 0$  from (20)(21), yet by (14) we know  $V(0)$  and  $U(0)$  are generally non-zero. This difficulty is resolved by taking half of  $\vec{F}(0)$  and  $U(0)$  are integrating over the right surface only (i.e. solving for the diffracted wave to the right):

$$(20a) \quad \frac{1}{2} \vec{F}(0) = \int_S \frac{\vec{K}_m(r') e^{-jk|r-r'|}}{4\pi|r-r'|} dS(r')$$

$$(2a) \quad \frac{1}{2} U(r,0) = \int_{S_0} \frac{\gamma_m e^{-jk|r-r'|}}{4\pi|r-r'|} dS(r')$$

This can be put in the form:

$$\bar{F}(r,0) = \int_{S_0} \frac{\bar{E} \times \bar{n}}{2\pi} \frac{e^{-jk|r-r'|}}{|r-r'|} dS(r')$$

Bethe (1) uses this form (not used in this paper) and (2) uses  $\bar{K}_m = \frac{\bar{E} \times \bar{n}}{2\pi}$  and  $\gamma_m = \frac{\bar{H} \cdot \bar{n}}{2\pi}$  in gaussian units. In gaussian units  $\text{div } \bar{H} = 4\pi \rho = 4\pi \frac{\gamma_m}{\delta}$  so the above definition of  $\gamma_m$  can be derived by reversing the sign of the fields on the left which gives  $\text{div } \bar{H} = \frac{2\bar{H} \cdot \bar{n}}{\delta}$  so  $\gamma_m = \frac{\bar{H} \cdot \bar{n}}{2\pi}$ . This means that  $\gamma_m$  in Bethe's definition includes the "magnetic charges" associated with both the diffracted waves to the left and to the right. This means that Bethe's development and this paper give consistent values of  $\bar{E} \times \bar{n}$  and  $\bar{H} \cdot \bar{n}$ , yet Bethe's equations give twice the values of  $\bar{K}_m$  and  $\gamma_m$  defined in this paper.

$\bar{n} \cdot \text{into (6a) gives: } \nabla \cdot (\bar{E} \times \bar{n}) = -j\omega\mu(\bar{m} \cdot \bar{n})$  or

$$(22) \quad \nabla \cdot \bar{K}_m = \frac{\partial K_{mx}}{\partial x} + \frac{\partial K_{my}}{\partial y} \quad \nabla \cdot \bar{K}_m = -j\omega\gamma_m$$

For an infinite plane wave at an infinite plane screen  $\bar{H}_0$  is constant over the hole. In actual practice and particularly for waveguides the standing wave  $\bar{H}_0$ ;  $\bar{E}_0$  will vary across the aperture. When  $ka \ll 1$ , where  $a$  is the farthest point of the aperture edge from center of gravity, taking  $\bar{H}_0$  as constant is still a good approximation for simplifying  $U(r,0)$  for use in (21a) to solve for  $\gamma_m$ . Caution must be observed to avoid neglecting the curl  $\bar{H}$  so that no important components of  $\bar{E}$  are lost.



On the contour of the hole the b.c.  $\bar{E} \times \bar{n} = 0$  on the screen requires  $E_{tan}$  vanish on the contour

$$(23)* \quad \bar{E}_c = \bar{E} \cdot \bar{n} = 0 \quad \text{on contour}$$

\*This condition was not included in Bethe's original paper<sup>1a,1b</sup> but was published by Bethe in an M.I.T. R.L. report which remained classified for some time. Bourgin<sup>17</sup> in the meantime (published this condition.) said some condition like this was necessary.

The magnetic current perpendicular to contour must likewise vanish.

$$(24) \quad \bar{K}_{mp} = \bar{E}_L \times \bar{n} = \bar{E} \cdot (\bar{a} \times \bar{n}) = -\bar{n} \times (\bar{E} - \bar{a}) = 0, \quad K_{mp} = 0 \text{ on } C$$

From (23) we have:  $\oint \bar{E} \cdot d\bar{a} = 0 \quad \dots (25a)$

By Stoke's Law:  $\oint \bar{E} \cdot d\bar{a} = \int_A (\nabla \times \bar{E}) \cdot \bar{n} \, da$

From (6)(2)(25a)  $0 = \oint \bar{E} \cdot d\bar{a} = -j\omega\mu \int_A \bar{H} \cdot \bar{n} \, da = -j\omega \int_A \eta \, da$

$$(25b) \quad \int_A \eta \, da = 0$$

The total "magnetic charge" of the window is zero.

4. Separation into H and E Components.

Examination of (22) indicates division of fields into two components is possible.  $\bar{K}_m = \bar{K}_{mH} + \bar{K}_{mE}$

$$\begin{aligned} \text{div } \bar{K}_H &= -j\omega \eta_m \neq 0 \\ \text{div } \bar{K}_E &= 0 \end{aligned}$$

$$\begin{aligned} \text{curl } \bar{K}_H &\sim k_a \cos \theta \text{ curl } \bar{K}_E \neq 0 \\ \text{curl } \bar{K}_E &\neq 0 \end{aligned}$$

$$* \theta < \theta \leq 90^\circ, B \sim 20^\circ$$

$\theta$  is angle of incidence (to normal) of path of incident wave:  $k_a \ll 1$

Using "N" to mean "order of magnitude of" where  $k_a \ll 1$ :

$$(23a) \quad \frac{1}{2} \bar{F} = \int_{S_1} \frac{\bar{K}_m(r') dS(r')}{4\pi |r-r'|} \sim \sqrt{k_a} a \quad (21a) \quad \frac{1}{2} U = \int_{S_2} \frac{\eta_m(r') dS(r')}{4\pi \mu |r-r'|} \sim \frac{\eta_m a}{\mu} \quad (24a)$$

Considering the two components:

(1) H component

$$\nabla \cdot \bar{K}_m \sim k_a \sim \omega \eta_m \quad (27a)$$

$$K_m \sim \omega a \eta_m \quad (28a)$$

$$K_m \sim k_a \sqrt{\frac{\mu}{\epsilon}} (\bar{H} \cdot \bar{n})$$

$$E_{tm}(r') \ll \sqrt{\frac{\mu}{\epsilon}} H_{tm}(r')$$

Electric dipoles neglected ( $B < \theta \leq 90^\circ$ )

$$\begin{aligned} \bar{H}_m &\sim [1/(k_a^2)] \frac{\eta_m}{\mu} \\ \boxed{\bar{H}_m = -\nabla U} \end{aligned} \quad (29a)$$

$$E_m \ll \sqrt{\frac{\mu}{\epsilon}} H_m$$

Normal  $\bar{H}_m$  predominates, which is generated by incident tangential  $\bar{H}_m$

$$\eta_m \sim \mu H_b \quad (30a)$$

(2) E component

$$\nabla \cdot \bar{K}_E = 0 \quad (27b)$$

$$\eta_m = 0 \quad (28b)$$

$$(\bar{H} \cdot \bar{n}) = 0$$

$$H_n(r') = 0 \quad E_{tn}(r') \text{ is present}$$

Magnetic dipoles not present

$$\begin{aligned} \nabla U &= -j\omega \epsilon \bar{F} \\ U &= 0; \quad H_m \sim k_a \sqrt{\frac{\epsilon}{\mu}} K_m \end{aligned}$$

$$\boxed{\bar{E}_m = -\nabla \times \bar{F}} \quad (29b)$$

$$E_m = -\nabla \cdot \bar{F} \sim \frac{1}{a} \sim K_m$$

$$\sqrt{\frac{\mu}{\epsilon}} H_m \ll E_m$$

Tangential  $\bar{E}_m$  predominates, which is generated by incident normal  $\bar{E}_m$ .

$$K_m \sim E_b \quad (30b)$$

5. Solution for the H component.

We neglect terms of order  $ka$  since in this approximation  $ka \ll 1$ .

For uniform incident field by b.c. (14a) and (29a) we have at  $z = 0$ :

$$(31) \quad \bar{H} = \frac{1}{2} \bar{H}_{0y} = -\nabla U = -\bar{a}_y \frac{\partial U}{\partial y} \quad U = -\int \frac{H_{0y}}{2} dy = -\frac{1}{2} H_{0y} y = -\frac{1}{2} \bar{H}_0 \cdot \bar{r}$$

(31) in (26b) gives integral equation for  $\eta_m$ :

$$(32) \quad -\frac{1}{4} \bar{H}_0 \cdot \bar{r} = \int_{S_0} \frac{\eta_m(r')}{4\pi\mu |r-r'|} dS(r')$$

The magnetic moment is:

$$(33) \quad \bar{X} = \int_{S_0} \eta_m(r') \bar{r}' dS(r')$$

$$\bar{r}' = \bar{a}_x x' + \bar{a}_y y'$$

Using  $\bar{r}' = \bar{a}_x x' + \bar{a}_y y'$  and (22) in (33).

$$\bar{X} = \bar{a}_x X_x + \bar{a}_y X_y = \bar{a}_x \int_{S_0} \eta_m(r') x' dS + \bar{a}_y \int_{S_0} \eta_m(r') y' dS$$

$$-j\omega X_x = \int_{S_0} x (\nabla \cdot \bar{K}_m) dS \quad -j\omega X_y = \int_{S_0} y (\nabla \cdot \bar{K}_m) dS$$

$$-j\omega X_x = \int x (\nabla \cdot \bar{K}_m) dS = \int \nabla \cdot (x \bar{K}_m) dS - \int \bar{K}_m (\nabla x) dS \quad (35)$$

$$\stackrel{1^{\text{st}}}{=} \text{term by Gauss law and (24): } \int_{S_0} \nabla \cdot (x \bar{K}_m) dS = \int (x \bar{K}_m)_p dA = 0$$

$$\therefore \boxed{j\omega \bar{X} = \int \bar{K}_m dS} \quad (36) \quad \text{or} \quad \boxed{j\omega \bar{X} = -\bar{n} \times \int \bar{E} dS} \quad (36a)$$

By (36)  $\bar{X}$  is a magnetic dipole moment in the plane of the screen.

It is known, if  $E_{\text{tan}}$  over aperture is known. (As will be shown in the next section, the electric dipole and hence the complete solution determined by  $E_{\text{tan}}$  over the aperture.)

Examination of (32)(33) shows that  $\eta_m$  and  $\bar{X}$  are linear functions of  $H_{0x}$  and  $H_{0y}$ ; so we write  $\bar{X}$  in terms of  $\bar{M}$ , the components of a magnetic polarizability tensor.

$$\begin{aligned}
 X_x &= \vec{M}_{xx} H_{0x} + \vec{M}_{xy} H_{0y} \\
 (3) \quad X_y &= \vec{M}_{yx} H_{0x} + \vec{M}_{yy} H_{0y}
 \end{aligned}$$

The originally incorrect sign of  $M$  was corrected by Bethe<sup>18</sup>. The sign can be found from the following development related to Stratton's discussion of Poynting's Theorem<sup>19</sup>. The stored energy is:

$$(38a) \quad W = \frac{1}{2} \int_V [\vec{E} \cdot \vec{D} + \vec{H} \cdot \vec{B}] dV \quad \text{/inst}$$

In the equations marked "/inst" the field vector  $\vec{E}, \vec{D}, \vec{H}, \vec{B}$  are the instantaneous real values. In general in this paper, the field vectors indicate the peak values (or the field vector with the time factor  $e^{j\omega t}$  omitted). Eq (38a) is also valid where  $\vec{E}, \vec{D}, \vec{H}, \vec{B}$  are average values.

Substituting (18)(17)(6a)(6c) into (38a)

$$(39a) \quad W_{av} = \frac{1}{2} \int_V \left\{ -\epsilon \nabla \cdot (\vec{F} \times \vec{E}) + j\omega \mu \vec{E} \cdot \vec{F} + \epsilon \vec{F} \cdot \nabla \cdot \vec{E} \right. \\ \left. - \mu \nabla \cdot (\vec{F} \times \vec{H}) - j\omega \rho \vec{H} \cdot \vec{F} + \mu \vec{F} \cdot \nabla \cdot \vec{H} \right\} dV \quad (19)$$

$$(39b) \quad \frac{1}{2} W_{av} = \frac{1}{2} \int_V \left( \epsilon \vec{J}_{im} \cdot \vec{F} + \vec{F} \cdot \nabla \cdot \vec{E} \right) dV + \frac{1}{4} \int_V \left( \vec{F} \cdot \nabla \cdot \vec{E} + \nabla \cdot \vec{F} \right) dV \quad (20)$$

The second 1/2 comes from division at  $z = 0$  as in (20a)(21a). The volume is bounded by a hemisphere on the right and the conducting surface of the screen plus a surface through aperture. Since the waves are propagated by a finite velocity, we may take the radius of the hemisphere sufficiently large so that the diffracted wave has not reached the surface yet, then the second integral is zero over the hemisphere. The surface integral over the screen is zero since  $\vec{F} \times \vec{E} \cdot \vec{m} = 0$  and  $\nabla \cdot \vec{F} \cdot \vec{m} = 0$  or so on. This leaves

$$(39c) \quad \frac{1}{2} W_{av} = \frac{1}{2} \int_V \left( \epsilon \vec{J}_{im} \cdot \vec{F} + \nabla \cdot \vec{F} \right) dV \quad \text{average}$$

$$W_{av} = \int_V \left( \epsilon \vec{J}_{im} \cdot \vec{F} + \nabla \cdot \vec{F} \right) dV$$

change from instantaneous  $\hat{I}_m, \hat{F}, \hat{U}, \hat{z}_m$  to peak values.

$$(40) \quad \frac{1}{2} W_{\omega} = \frac{1}{8} \int_{S} [U \eta_m + \epsilon \bar{F} \cdot \bar{K}_m] dS$$

For H component by (29a) second term is of order  $(ka)^2$ ,  $ka \ll 1$  so by (21a)

$$(40a) \quad W_{\omega} \approx \frac{1}{8} \int_{S} U \eta_m dS = \frac{1}{8\pi\mu} \iint \frac{\eta_m(r) \eta_m(r')}{|r-r'|} dS(r) dS(r') > 0$$

(40a) is positive since it is a self potential. Using (40a)(32)(33):

$$W_{\omega} = -\frac{1}{8\pi\epsilon} \int \bar{H}_0 \cdot \bar{r} \eta_m dS = -\frac{\bar{H}_0}{8\pi\epsilon} \cdot \int \bar{r} \eta_m dS = -\frac{\bar{H}_0 \cdot \bar{\chi}}{8\pi\epsilon} > 0$$

So  $\bar{H}$  and  $\bar{\chi}$  have opposite signs

$$(41) \quad \underline{W_{\omega} = -\frac{1}{8\pi\epsilon} \bar{H}_0 \cdot \bar{\chi} > 0}$$

Let  $M_{ij} = -\bar{M}_{ij}$  in (37) then:  $M_{ij} > 0$

$$(41b) \quad \begin{cases} \chi_x = -M_{xx} H_{0x} - M_{xy} H_{0y} \\ \chi_y = -M_{yx} H_{0x} - M_{yy} H_{0y} \end{cases}$$

Bethe points out that the energy relation (41) is analogous to that of the ordinary theory of magnetism: "Therefore, just as we can conclude that the tensor of magnetic permeability is symmetrical, so we believe that in our case we can conclude that the  $M$ -tensor is symmetrical." #20

$$(42) \quad M_{yx} = M_{xy}$$

We need only assume that  $\bar{\chi}$  is the derivative with respect to  $\bar{H}_0$  of:

$$(42a) \quad \delta W = \frac{1}{2} M_{xx} H_{0x}^2 + \frac{1}{2} (M_{xy} + M_{yx}) H_{0x} H_{0y} + \frac{1}{2} M_{yy} H_{0y}^2$$

If we accept (42) the tensor  $M$  can be transformed to principal axes. For non-symmetrical apertures the directions of the principal axes must be determined from the integral equation.

Let  $\bar{l}$  and  $\bar{m}$  be unit vectors in the directions of the two principal axes, and  $H_{0l}$ ,  $H_{0m}$  be respective components of  $H_0$ . Then in terms of principal axes, the magnetic moment is:

$$(13) \quad \bar{\chi}_{(\text{dipole})} = -M_1 H_{0l} \bar{l} - M_2 H_{0m} \bar{m}$$

$M_1, M_2$  are the principal magnetic polarizabilities in units of permeability times volume ( $M \sim \mu a^3$  henry-meter<sup>3</sup>)

An alternate form from (36b) is:

$$(14) \quad \bar{\pi} \times \int \bar{E} dS = +j\omega (M_1 H_{0l} \bar{l} + M_2 H_{0m} \bar{m})$$

6. Solution for E component.

For the electric component we have from (22)(2 b):

$$(45) \quad \eta_m = \frac{+j}{\omega} \nabla \cdot \bar{K}_m = \frac{j}{\omega} \nabla \cdot (\nabla \times \bar{E}) = 0$$

This means that lines of magnetic current must be closed or that  $\bar{K}_m$  can be derived from a potential function:

$$(46) \quad \bar{E}_t = -\nabla \phi$$

(45) and (46) are true irrespective of size of hole. By b.c. (23):

$\phi =$  constant on the contour of any tube. The constant may be taken as zero without changing  $E_z$  from (4.6):  $\phi = 0$  on contour (46a)

$$(47)(48) \quad -\nabla \times \bar{F} = \frac{1}{2} \bar{E}_{0z} \quad -(\nabla \times \bar{F}) \times \bar{r} = \frac{1}{2} \bar{E}_{0z} \times \bar{r}$$

$$(\nabla \times \bar{F}) \times \bar{r} = \bar{F} (\nabla \cdot \bar{r}) - \bar{r} (\nabla \cdot \bar{F}) \quad \nabla \cdot \bar{F} = 0, \nabla \cdot \bar{r} = 2 \text{ (cylindrical)}$$

$$-2\bar{F} = \frac{1}{2} \bar{E}_{0z} \times \bar{r} \quad \bar{F} = -\frac{1}{4} \bar{E}_{0z} \times \bar{r} \quad (46b)$$

Now (46b) and (23a)(23b) give the  $\bar{K}_m$  term equation to be solved  $-\bar{K}_m$

$$-\frac{1}{2} \bar{E}_{0z} \times \bar{r} = \int_{S_1} \frac{\bar{K}_m \cos \theta}{4\pi r^2} dS \cos \theta \quad (46c)$$

Given  $\bar{K}_m = \bar{E}_{0z} \times \bar{r}$  on the circular boundary the surface we may choose the  $\bar{r}$  direction. The  $\bar{K}_m$  vector is perpendicular to the surface of the hole. This position is similar to that of a magnetic current  $\bar{K}_m$  is used in place of  $\bar{J}$  since the current is in the direction of  $\bar{r}$ . The current is in the direction of  $\bar{r}$  since the current is in the direction of  $\bar{r}$ .

$$(47a) \quad \nabla^2 \bar{F} = -\bar{K}_m = -\frac{X_j}{r}$$

The dipole magnetization  $\bar{X}$  is used here and everywhere in this paper instead of loop magnetization  $\bar{M}$  to make the inhomogeneous wave equations of identical form for both magnetic and electric currents.

$$(4/b) \quad \bar{X}_0 = \bar{X} = -\frac{j \bar{J}_m}{\omega} = -\frac{j \bar{J}_m}{\omega \epsilon_0}$$

corresponding to iteration, eq. (34)

$$(4/c) \quad \bar{\Pi}^* = \frac{e^{-jkr}}{4\pi r} \int \bar{X}_0(\bar{r}') e^{+j\bar{k}\cdot\bar{r}'} d\bar{v}'$$

The total field is  $\bar{\Pi}^* = \sum_{n=0}^{\infty} \bar{\Pi}^{*(n)}$  where  $\bar{\Pi}^{*(0)}$  is magnetic vector potential represented by a current and  $\bar{\Pi}^{*(1)}$  is electric quadrupole, (with electric dipole contribution)

Then substituting  $\bar{X}_0$  for  $\bar{J}$  in eq. (34) using appropriate signs of eq. (34) p. 232, eq. (34) is:  $\bar{X}_0 = -\frac{j \bar{J}_m}{\omega}$

$$(4/d) \quad \bar{\Pi}^{*(1)} = \frac{1}{4\pi R} \bar{X}^{(1)} = \frac{j \bar{J}_m \cdot \bar{R}}{4\pi \omega R^2} \quad \bar{X}^{(1)} = \int_{ns} \bar{X}_0 dS \quad (47e)$$

$$\text{From (47d) } \bar{X}_0 = \bar{J} \cdot \bar{R} = \frac{-j \bar{J}_m \cdot \bar{R}}{\omega} = -\frac{j}{\omega} (\bar{a}_x J_x - \bar{a}_y J_y) \quad (47f)$$

(47d) is by (360)

$$\bar{X}^{(1)} = \frac{1}{4\pi R} \int \bar{E}_t dS = -\frac{1}{4\pi R} \int \nabla \phi dS = -\frac{1}{4\pi R} \int \bar{a}_y dS$$

$$\int \bar{E}_t dS = -\int \nabla \phi \cdot \bar{n} \times d\bar{a} = 0 \quad \bar{X}^{(1)} = 0 \quad (47g)$$

This vector potential is zero for all points with  $\bar{R} \cdot \bar{a}_y = 0$ .  
 In the case of a dipole current, the vector potential is zero for all points with  $\bar{R} \cdot \bar{a}_y = 0$ .

$$\bar{\Pi}^{*(1)} = \frac{j \bar{J}_m}{4\pi \omega} \left( \frac{1}{R} - \frac{j}{kR^2} \right) e^{+j(\omega t - kR)} \int \bar{R}_i \cdot \bar{R}_j d\bar{v} \quad (48)$$

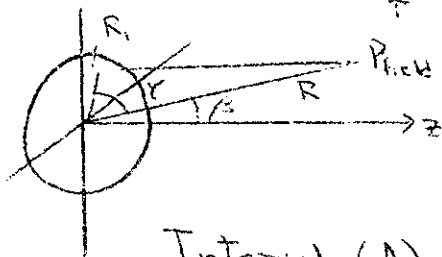
Exp. (48) in eq. (34)

$$\bar{X}^{(1)}(\bar{r}, t) = \bar{R}_i \cdot \bar{R}_j \frac{\bar{X}_0}{R} = \frac{1}{2R} \left[ \bar{R}_i \times \bar{X}_0 \right] \times \bar{R} + \bar{X}_0 (\bar{R}_i \cdot \bar{R}) + \bar{R}_j (\bar{R} \cdot \bar{X}_0) \quad (48a)$$

Changing to surface integral, the integral part of (48a) becomes.

$$\int_{ns} \bar{X}_0 R_1 \cos \gamma dS = \int_{ns} \frac{(\bar{R}_1 \times \bar{X}_0) \times \bar{R}}{2R} dS + \int_{ns} \frac{\bar{X}_0 (\bar{R}_1 \cdot \bar{R})}{2R} dS \quad (49c)$$

$$+ \int_{ns} \frac{\bar{R}_1 (\bar{R}_1 \cdot \bar{X}_0)}{2R} dS \quad (c)$$



Integral (A) of (49c):

$$\int_{ns} \frac{(\bar{R}_1 \times \bar{X}_0) \times \bar{R}}{2R} dS = -\frac{j}{\omega} \int_{ns} \frac{E_x x + E_y y}{z} dx dy \bar{a}_z \times \left(\frac{\bar{R}}{R}\right) =$$

$$\int E_x x dS = -\int \frac{\partial \phi}{\partial x} dS = -\int \left[ \frac{\partial \phi}{\partial x} (\phi x) - \phi \right] dS$$

$$= + \int \phi dS - \int (\phi x) \cos(\alpha_{\bar{R}}, \bar{z}) dz$$

by (46a)  $\int E_x x dS = \int E_y y dS = \int \phi dS \quad \dots (49d)$

Integral (B) of (49c).

$$\int_{ns} \frac{\bar{X}_0 (\bar{R}_1 \cdot \bar{R})}{2R} dS = -\frac{j}{\omega} \int_{ns} (\bar{a}_x E_y - \bar{a}_y E_x) \frac{(xX_0 + yY_0)}{zR} dx dy = -\frac{j}{\omega} \left\{ \bar{a}_x \frac{Y_0}{R} - \bar{a}_y \frac{X_0}{R} \right\}$$

Integral (C) of (49c)

$$\int_{ns} \frac{\bar{R}_1 (\bar{R}_1 \cdot \bar{X}_0)}{2R} dS = -\frac{j}{\omega} \int_{ns} \left( \frac{\partial \phi}{\partial x} x - \frac{\partial \phi}{\partial y} y \right) \frac{(xX_0 + yY_0)}{zR} dx dy = \frac{j}{\omega} \left\{ \bar{a}_x \frac{Y_0}{R} - \bar{a}_y \frac{X_0}{R} \right\}$$

By (49a) (49b) integrals (B) or (C) cancel leaving (A). Putting in (49d)

(49b) back into (49c) we have:

$$(49c) \quad \bar{\Pi}^{(1)} = \frac{1}{4\pi \epsilon_0} \frac{1}{R} \left[ \bar{a}_z \int \phi dS \right] \times \nabla R \left( \frac{1}{R} - \frac{j}{\omega R} \right) e^{j(\omega t - \beta R)} ; \quad \bar{R} = \left( \frac{\bar{R}}{R} \right)$$

Comparing (49c) with Stratton, p. 496, eq. (21),  $\bar{\Pi}^{(1)} \rightarrow \frac{1}{4\pi \epsilon_0} \frac{1}{R} \left[ \bar{a}_z \int \phi dS \right]$  to see that  $\bar{\Pi}^{(1)}$  is the contribution of an electric dipole of moment

$$(49d) \quad \bar{\Pi}^{(1)} = \bar{a}_z \epsilon \int \phi dS$$

Since the other integrals cancel, there is no magnetic quadrupole term from the z component. By (49b) and (49d).

$$(50) \quad \boxed{\bar{P}^{(a)} = \bar{a}_z \frac{\epsilon}{2} \int_{ns} \bar{E} \cdot \bar{r}' dS(r')} \quad \left( \bar{E}_r \text{ comes from solution of (46)} \right)$$

In §4 it was shown that the  $\bar{P}$  component is generated by the incident normal  $\bar{E}(r)$ . Consequently we take  $\bar{P}$  proportional to normal incident  $\bar{E}$ .

$$(51) \quad \boxed{\bar{P} = \bar{n} P(\bar{E}_0 \cdot \bar{n})}$$

$P$  is the electric polarizability of the aperture.  $P \propto \epsilon a^3$  (farad-meter<sup>3</sup>)

Alternately (50) can be obtained from  $\bar{K}_m$  directly. Examining

$$\bar{m} = \frac{\bar{X}}{\mu} = \frac{1}{2} \int_V \bar{E} \times \bar{J} dV \quad \text{in Stratton's } n^{22} \text{ and noting sign difference for (6a,b):}$$

$$(50a) \quad \bar{P}_{1/2} = \frac{1}{2} \int \bar{K}_m \times \bar{r} dS$$

$$(50b) \quad \bar{P} = \frac{\epsilon}{2} \int (\bar{E} \times \bar{n} \times \bar{r}) dS = \frac{\epsilon}{2} \int [\bar{n}(\bar{E} \cdot \bar{r}) - \bar{r}(\bar{E} \cdot \bar{n})] dS = \bar{a}_z \frac{\epsilon}{2} \int \bar{E} \cdot \bar{r}' dS$$

Note (50b) is same as (50). To determine sign of  $\bar{P}$  in (51) we use (46,47)

$$(52) \quad \frac{1}{2} \delta W_{rr} = \int_{ns} \epsilon \bar{E} \cdot \bar{K}_m dS$$

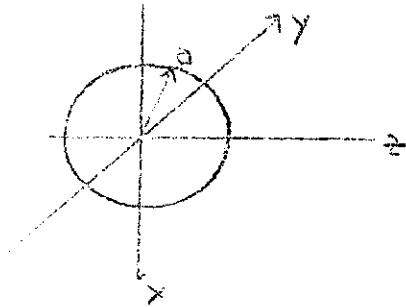
$$(53) - \text{from (46)(52)} \quad \frac{1}{2} W_{rr} = \frac{\epsilon}{4\pi} \iint \frac{\bar{K}_m(r) \cdot \bar{K}_m(r')}{|r-r'|} dS(r) dS(r') > 0$$

$$\text{From (53)(52)(46)} \quad \frac{1}{2} \delta W_{rr} = \int_{ns} (-\frac{1}{4} \bar{E}_{0n} \times \bar{r}) \cdot \bar{K}_m dS = \frac{\epsilon}{4} \bar{E}_{0n} \cdot \int \bar{K}_m \times \bar{r} dS$$

$$\frac{1}{2} W_{rr} = \frac{\epsilon}{32} \bar{E}_{0n} \cdot \left( \frac{2\bar{P}}{\epsilon} \right) = \frac{\bar{E}_{0n} \cdot \bar{n}}{16} P(\bar{E}_0 \cdot \bar{n}) = \frac{1}{16} P(\bar{E}_0 \cdot \bar{n})^2 > 0 \quad (54)$$

Since  $(\bar{E}_0 \cdot \bar{n})^2$  is positive,  $P$  is positive. The difference in sign between  $\bar{P}$  and  $\bar{P}'$  is not due to their being magnetic and electric, but due to being parallel and perpendicular to screen.

Example of Circular Aperture.



Bethe<sup>2</sup> has obtained a solution for a circular aperture of radius  $a$ . Rowkamp<sup>3</sup> has corrected Bethe's solution which gave incorrect  $H_{\tan}$  for  $H$  component near aperture and found Bethe's radiation field results to be correct.

Rowkamp has also obtained a series solution of which the first term corresponds to Bethe's approximation developed here.

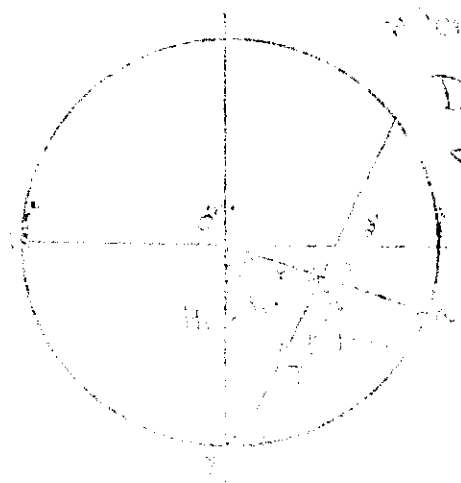
a. E Component. (Corresponds to TE mode circular iris of Stratton<sup>24</sup>)

The integral equation is (32):

$$(55)(32) \quad -\frac{1}{4} \overline{H}_0 \cdot \hat{z} = \int_{S_0} \frac{\eta_m(\mathbf{r}')}{4\pi|\mathbf{r}-\mathbf{r}'|} dS(\mathbf{r}')$$

Since Rowkamp's correct solution in spheroidal coordinates has not yet been published (to appear in second of two papers), we will outline Bethe's procedure and then give the correct solution given without formal development in Rowkamp's first paper.

Bethe states that a constant magnetic field is produced by a uniform distribution of dipoles in an ellipsoid as is developed by Stratton<sup>23</sup>. We consider the aperture to be filled by an ellipsoid of semi-axes  $a, a, h$ ,



where  $h \rightarrow 0$   $\frac{a^2}{a^2} + \frac{z^2}{h^2} = 1$   $\tau \approx (a^2 - r^2)^{1/2}$

Dipole surface density  $\bar{X} = \alpha \overline{H}_0$  (56)

Surface charge density  $\eta_m = \nabla \cdot \bar{X} = \alpha \cdot \nabla \cdot \overline{H}_0$  (57)

$\text{div} \cdot \bar{X} = \nabla \cdot \alpha \overline{H}_0$  for  $\bar{A} = \alpha \overline{H}_0$

$\eta_m = \overline{H}_0 \cdot \alpha \nabla \cdot \hat{z}$  (58)

$\eta_m = \frac{\overline{H}_0 \cdot \hat{z}}{(a^2 - r^2)^{1/2}}$   $\eta_m = \alpha \frac{\overline{H}_0 \cdot \hat{z}}{(a^2 - r^2)^{1/2}}$  (59)

$$\vec{H}_0 \cdot \vec{r}' = H_0 r + \mu \rho \cos(\alpha - \gamma) \quad (56)$$

$$(56) \text{ in } (55) \quad -\frac{1}{4} \vec{H}_0 \cdot \vec{r} = \alpha \int_0^{2\pi} \frac{d\beta}{4\pi\mu} \int_0^{\rho} \frac{H_0 r + H_0 \rho \cos(\alpha - \beta)}{(a^2 - r'^2)^{3/2}} r' dr' \quad (57)$$

$$\vec{r} = \rho + r \cos \beta, \quad \rho = \vec{r} - r \cos \beta, \quad d\rho = d\vec{r} \quad \text{Combining RP, PS makes } 2\pi \rightarrow \pi$$

$$-\frac{1}{4} \vec{H}_0 \cdot \vec{r} = \alpha \int_0^{\pi} \frac{d\beta}{4\pi\mu} \left\{ \vec{H}_0 \cdot \vec{r} \int_{-r}^{\rho} \frac{ds}{(a^2 - r'^2)^{3/2}} + H_0 \cos(\alpha - \beta) \int_{-r}^{\rho} \frac{\vec{r} ds}{(a^2 - r'^2)^{3/2}} - H_0 \cos(\alpha - \beta) \int_{-r}^{\rho} \frac{r' ds}{(a^2 - r'^2)^{3/2}} \right\} \quad (58)$$

(I) (II) (III)

Integrals I, II are  $\pi, -\pi$  by Rec. (27); III is zero by Rec. (22)

$$-\frac{1}{4} \vec{H}_0 \cdot \vec{r} = \frac{\alpha}{4\pi\mu} \int_0^{\pi} (\vec{H}_0 \cdot \vec{r} - H_0 r \cos(\alpha - \beta)) d\beta = \frac{\alpha \pi}{2\mu} (\frac{1}{2} \vec{H}_0 \cdot \vec{r})$$

$$\alpha = \frac{2\mu}{\pi}$$

$$(56) \text{ in } (57) \quad \boxed{\gamma_m = -\frac{2\mu}{\pi} \frac{H_0 \cdot \vec{r}}{(a^2 - r'^2)^{3/2}}} \quad \text{--- Weber's method ---} \quad (59)$$

To obtain the correct value for  $\vec{K}_{mH}$ , we have to go back to (21). Bethel had calculated  $\vec{K}_m$  from (22)  $\vec{K}_m = \int \frac{d\vec{r}}{r^2} \gamma_m$  and thereby missed part of  $\vec{K}_{mH}$  which caused  $\vec{K}_{mH}$  to fail to set h.c. (24)  $\vec{K}_{mH} = 0$  on circle  $r=a$ . Boukharov<sup>3</sup> has obtained the following result as the first term in power series in  $(kr)$  in elliptic cylindrical coordinates:

$$(55) \quad \boxed{\vec{K}_{mH} = -\frac{2\mu}{\pi} \frac{H_0}{\sqrt{a^2 - x^2 - y^2}} + \frac{2\mu}{3\pi} \frac{(a^2 - x^2 - 2y^2) H_0}{\sqrt{a^2 - x^2 - y^2}}} \quad (60)$$

$$-\frac{2\mu}{\pi} \frac{H_0}{\sqrt{a^2 - x^2 - y^2}} + \frac{2\mu}{3\pi} \frac{(a^2 - x^2 - 2y^2) H_0}{\sqrt{a^2 - x^2 - y^2}} \quad \left\{ \text{--- } \frac{2\mu}{\pi} \frac{H_0}{\sqrt{a^2 - x^2 - y^2}} \right\}$$

Thus (59) agrees with (60).

b. Electric dipole moment. The potential  $\phi(r)$  in circular coordinates is

Boukharov did not find the dipole moment in his first paper. Since Bethel's  $\vec{K}_m$  had the form of the dipole moment calculated by Boukharov, we shall use the same expression for the dipole moment.

From (46c) the integral equation is:

$$(59)(46c) \quad -\frac{1}{8} \bar{E}_{\text{ext}} \cdot \bar{r} = \int_{S_1} \frac{\bar{K}_{ME}(r')}{4\pi|r-r'|} dS(r')$$

$$(59a) \quad -\frac{1}{8} E_y = \int_{S_1} \frac{K_y dS}{4\pi|r-r'|}$$

$$(55) \quad -\frac{1}{4} H_{0y} = \int_{S_1} \frac{m_m/\mu dS}{4\pi|r-r'|}$$

Comparing components with (55) : I see that they are the same equations with different symbols, so we can use (57d) which gives by comparison:

$$(60) \quad \bar{K}_{ME} = \frac{1}{\pi (a^2 - r'^2)^{1/2}} \bar{r}' \times \bar{E}_0 \quad (\text{volts})$$

c. Magnetic Polarizability.

$$(61) \quad \bar{X} = -\frac{1}{\omega} \int (\bar{K}_{MH} + \bar{K}_{ME}) dS \quad - \text{from (5)(6)(11b)}$$

The x-component of  $\bar{K}_{MH}$  and the y-z components of  $\bar{K}_{ME}$  will both integrate to zero.

It is simpler to use (33) and (57a):

$$\bar{X} = \int_{S_1} \bar{K}_{MH} \cdot \bar{r}' dS + \int_{S_1} \bar{K}_{ME} \cdot \bar{r}' dS = \bar{a}_y \int_{S_1} \bar{K}_{MH} \cdot \bar{r}' dS + \bar{a}_y \int_{S_1} \frac{1}{\pi} \int_0^{2\pi} \int_0^a \frac{r' dr' d\phi}{(a^2 - r'^2)^{1/2}} \cos \phi d\phi$$

$$(62) \quad \bar{X} = -\bar{a}_y \frac{4\pi\mu}{\pi} \int_0^a \frac{r' dr'}{(a^2 - r'^2)^{1/2}} = -\bar{a}_y \frac{4\pi\mu}{\pi} \left[ -\frac{1}{2} \ln|a^2 - r'^2| \right]_0^a = -\frac{4}{3} a^3 \mu H_0$$

$$(63) \quad M_y = -\frac{\bar{X}}{H_0} = \boxed{\frac{4}{3} a^3 \mu = M_{yy}}$$

d. Magnetic Polarizability.

$$(57)(61) \quad \bar{P} = \int_{S_1} \bar{E} \cdot \bar{r}' dS = \int_{S_1} (\bar{n} \times \bar{K}_{ME}) \cdot \bar{r}' dS = \int_{S_1} \frac{1}{\pi} \int_0^{2\pi} \int_0^a \frac{r' dr' d\phi}{(a^2 - r'^2)^{1/2}} (\bar{n} \times \bar{r}') \cdot \bar{r}' dS$$

$$(57)(61) \quad \bar{P} = \frac{\epsilon_0}{2\pi} \int_{S_1} \frac{1}{(a^2 - r'^2)^{1/2}} \int_0^{2\pi} \int_0^a r' dr' d\phi = \frac{\epsilon_0}{2\pi} \frac{4\pi}{3} a^3 = \frac{2}{3} \epsilon_0 a^3$$

$$\bar{P} = +\frac{2a^3}{3} \epsilon E_0 \quad (64) \quad \bar{P} = \frac{\bar{P}}{E_0} = \boxed{+\frac{2a^3}{3} \epsilon = P}$$

8. Summary of Results for Various Shapes.

a. Circular Aperture. Radius  $a$

Bethe's Gaussian System

$$\bar{K} = \frac{\bar{E} \times \bar{n}}{2\pi} \quad \text{and} \quad \eta_m = \frac{\bar{H} \cdot \bar{n}}{2\pi}$$

$$M_1' = M_2' = \frac{4}{3} a^3 \quad \dots \text{?c}^*$$

$$P' = \frac{2}{3} a^3 \quad \dots (71)$$

$$\bar{x}' = -\frac{M_1'}{2\pi} \bar{H}_0 \quad (\text{emu})$$

M.K.S. from § 7 this means:

$$N = N' \mu_0 \quad \mu_0 = 4\pi \times 10^{-7}$$

$$\frac{M_1}{N} = \frac{M_2}{N} = \frac{4}{3} a^3 \quad \dots (81)^*$$

$$\frac{P}{E} = \frac{2}{3} a^3 \quad \dots (82)$$

$$\bar{x} = -M_1 H_0 \quad (\text{webermeter})$$

+ These two different methods of dividing the problem into left and right halves are consistent as far as the solution for  $\bar{E} \times \bar{n}$  and  $\bar{H} \cdot \bar{n}$  in the aperture. The definition by Bethe of  $\eta_m = 2 \left( \frac{\bar{H} \cdot \bar{n}}{4\pi} \right)$  appears to put an extra factor of 2 into the magnetic and electric moments.

\* From dimensional analysis one could guess:  $\frac{M}{N} = \frac{4\pi}{2\pi} \frac{M'}{N'} = 2 \dots$

The difference in the two definitions should be resolved by dividing the

$$\left( \frac{M}{M'} = \frac{1}{\text{not } 2.} \right) \text{ is that:}$$

Both apparently split the problem into two symmetrical parts. In the paper by H. after (11.15) by using  $\eta_m = \frac{\bar{H} \cdot \bar{n}}{2\pi}$  not  $\eta_m = \frac{\bar{H} \cdot \bar{n}}{4\pi}$  in the paper the equivalent dipole moment is defined  $\bar{D}(\bar{a}, \bar{a})$  by 2 of (11.15).

Checking against results for the case of a circular aperture of radius  $a$  the magnetic permeability  $\mu$  becomes  $\frac{\mu}{\mu_0} = 1$  the result for other cases is transferred from Bethe's Gaussian system to the present system without further development but only for the circular aperture.

b. Rectangular Aperture.

Bethe's results for the rectangular aperture are given in the following table.