

Stage G

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"HISTORICAL AND PERSPECTIVE OF CYBERNETICS  
AND INFORMATION THEORY"

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## Historical Perspective of Cybernetics and Information Theory

Both Information Theory and Cybernetics started as averages of papers in 1948 following Shannon's paper (3) and Wiener's book (12). The output of papers in the general of Communication Theory averaged 200 papers for two years and then jumped to 300 and on to 500 per year as is shown in Fig. A. A book was counted as ten papers. The number of general or basic articles in Scopus bibliographies rose up to an average of 100 per year by 1956. The philosophical articles rose to 20 per year and then averaged off to ten per year.

In the Soviet Union Cybernetics was initially denounced as a "reactionary bourgeois science," but about 1953 the Information Theory-Cybernetics articles in the Soviet Union began increasing. In the last few years a new spectacular rise in the number of Russian articles on Cybernetics has occurred. The new feature of the current rise in articles is that they deal in many instances with philosophical, sociological, economic, educational, and special applications.

The slow methodical spread of American and Western European books on Cybernetics and Information Theory through different levels of phenomena and different activities is shown in Fig. S. The apparent jump of the Soviet articles into the education column of activity is also shown in Fig. S. To properly evaluate the significance of the recent Russian work we need to utilize the third dimension indicated in Fig. S, namely VETHCO: Experimental Science/ Abstract Models(Philosophical)/ Religious-Intuitive-Faetic. In Fig. C, Section W2, Wiener's discussion of analogies of cybernetic's

in social and political systems is shown tilted back into the philosophical method in the psychological phenomena level and into the intuitive-poetic method for social phenomena. Similarly Weaver's discussion of the technical, semantic and effective levels of Information Theory are distributed through these three levels of Method in Fig. C.

A brief examination of the recent Soviet Cybernetics publications indicates much of their work in the psychological-social phenomena levels belongs in the abstract-philosophical or intuitive-poetic methods range. The apparent weakness in the empirical science level of some of these Soviet studies may not necessarily be weakness, but possibly signs of a developing insight on how to match three methods together..

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For more specific developments in the application of "negative negative entropy" see the following working papers:

SEPR No. 88-B, "Negentropy and the Principle of Freedom, Democracy, and Justice," 12/27/63, 26pp. text, 14pp. tables and figures.

SEPR No. 91, "Four Philosophical Tools For Improving Our Insights Regarding The Problem Of Disarmament," 11/17/63, 23pp. text, 14pp. figures.

SEP No. 2, "SAN JOSE 2000 A.D.: Social Disfunction; "The City That Has A Heart" A pioneer city in the use of solar energy, wind energy; random access accounting; social publications; brotherly love. 9/30/67-11/12/68, 18p.

The application to city planning has been developed most fully in the following book:

Richard L. Weier, A Compensation Theory of Disfunction, Boston: M.I.T. Press (1962)

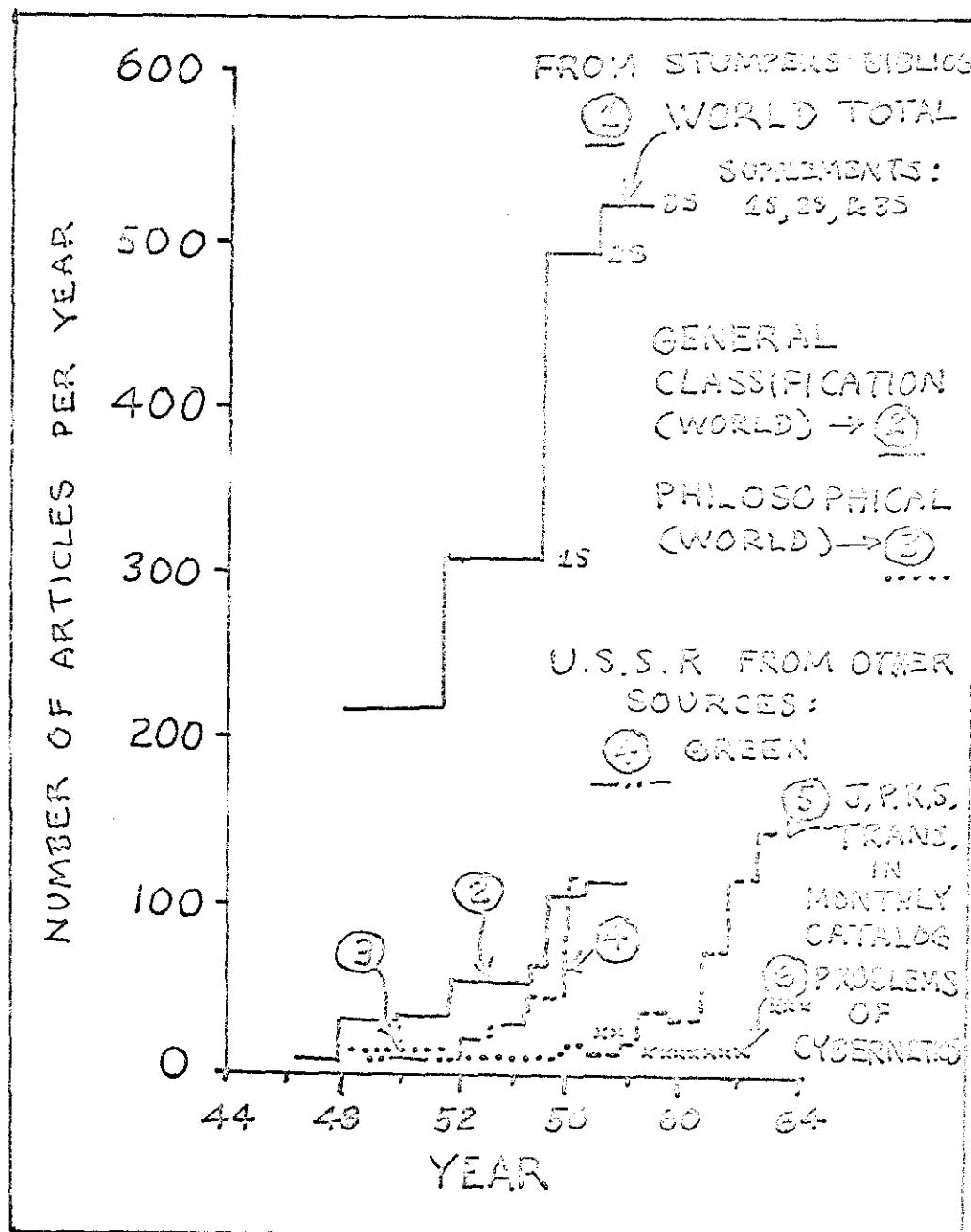


Fig. A. Plot of Number of Articles on Communication Theory, Information Theory, and Cybernetics Published per Year.

\*Note: Books counted as an equivalent number of articles.

TABLE AB: Key to References in Figures 4 & 5.

- A = Ashby, Introduction to Cybernetics, Methuen, London, 1956.  
 B = Beyer, Communication Theory of Secrecy Systems, MIT Press, Cambridge, Mass., 1968.  
 C = Shannon-Weaver, A Mathematical Theory of Communication, Bell System Technical Journal, Vol. 27, No. 3, July 1948.  
 D = Shanon, Probability of Message, Bell System Technical Journal, Vol. 27, No. 3, July 1948.  
 E = Tschirhart, Information Theory, McGraw-Hill, New York, 1956.  
 F = Shannon, Cybernetic Theory of Secrecy Systems, Bell System Technical Journal, Vol. 27, No. 3, July 1948.  
 G = Telegdy, Engineering Cybernetics, Pergamon, Oxford, 1960.  
 H = MIT. Radiation Laboratory, Handbook of Electronics, McGraw-Hill, New York, 1949.  
 CONF=Conf. Proc. "Futuroscop" (Paris, 1951).

also "Cybernetics at the Service of Communism," (Mos./Leningrad, 1951)

- I = Chaitin, Algorithmic Information Theory, Academic, New York, 1975.  
 J = Covington, Information Theory, Prentice-Hall, Englewood Cliffs, N.J., 1960.  
 K = Guttmann, Information Theory, McGraw-Hill, New York, 1965.  
 L = Jaynes, Information Theory and Statistical Mechanics, Phys. Rev., Vol. 106, p. 620, 1957.  
 M = Fel'dman, Information Theory, Mir, Moscow, 1965.  
 N = Kharachkin, Information Theory, Mir, Moscow, 1965.  
 O = Uspenski, Information Theory, Mir, Moscow, 1965.  
 P = Yockey, Semiotics and Information Theory, Academic, New York, 1968.  
 Q = Attneave, Information Theory in Psychology, Harper, New York, 1964.

D = Deutsch, Nationalism and Social Communism, (1955), pp. 64-80.

STUMPERS = Stumpers, "Bibliography of Communication Theory- Cybernetics- Information Theory," MIT REZ Report(1953) and Supplements IRE Trans. on Information Theory.

GENERAL = General section of Stumpers Bibliography.

PHILOSOPHICAL = Philosophical section of Stumpers Bibliography.  
(Books were counted as equivalent to ten papers.)

GREEN = Bibliography by Green in WEBOON Conf. Record(1957) and supplement in PGIT on Information Theory in the U.S.S.R.

J.P.R.S. TRANS. = Translations on Cybernetics listed in Monthly Catalog, U.S. Superintendent of Documents, Washington, D.C.

PROB. CYBER. = Problems of Cybernetics, Sov. Academy of Sciences, Moscow.

The major books in each of the following categories are representative of available literature on the subject. They are not intended to be complete or up-to-date, but are intended to give the general picture of available material.

INFORMATION THEORY: A short history and bibliography of information theory, official publications, and other sources of information on the subject, including the best books, is given in the following section. The following section contains a bibliography of books on communication theory, which is closely related to information theory.

CYBERNETICS: Semantic analysis of the term "cybernetics" is given in the following section. The following section contains a bibliography of books on the new science of cybernetics.

BASIC  
TYPES  
OF  
PHENOM-  
ENA:

CLASSES OF ACTIVITY

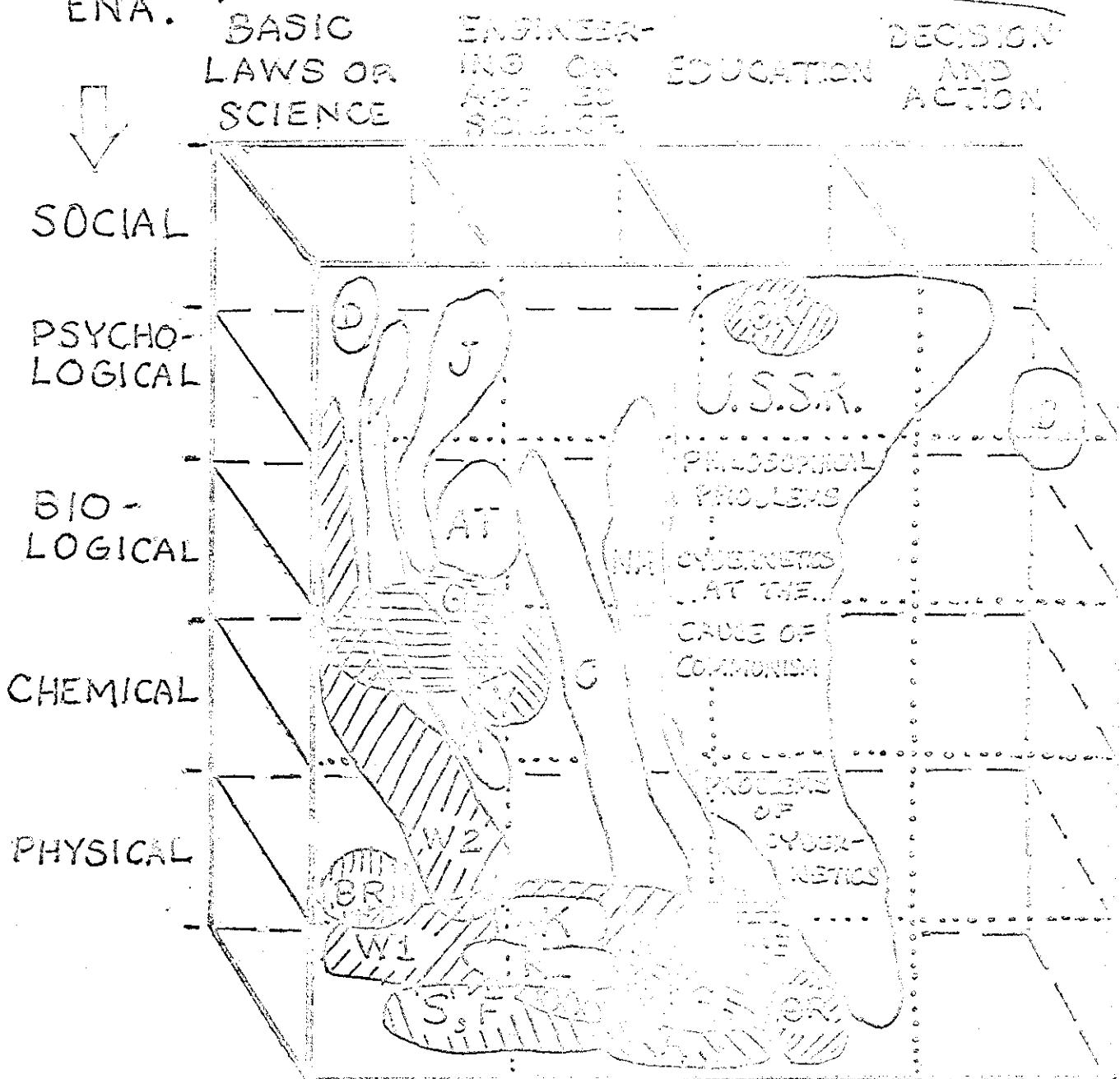


Fig. 8. Plot of Coverage of Books on Cybernetics and Information Theory on an Activity Class vs. Phenomena Type Grid System.

# BASIC TYPES

F.  
PHENOM-  
ENA:

BASIC  
LAWS OR  
SCIENCE

ENGINEER-  
ING OR EDUCATION  
APPLIED  
SCIENCE

DECISION  
AND  
ACTION

INFLUENCE (C)

KUST. PHILOSOPHICAL (A)

PHYSICAL SCIENCE (B)

SOCIAL

PSYCHO-  
LOGICAL

BIO-  
LOGICAL

CHEMICAL

PHYSICAL

METHODS

## CLASSES OF ACTIVITY

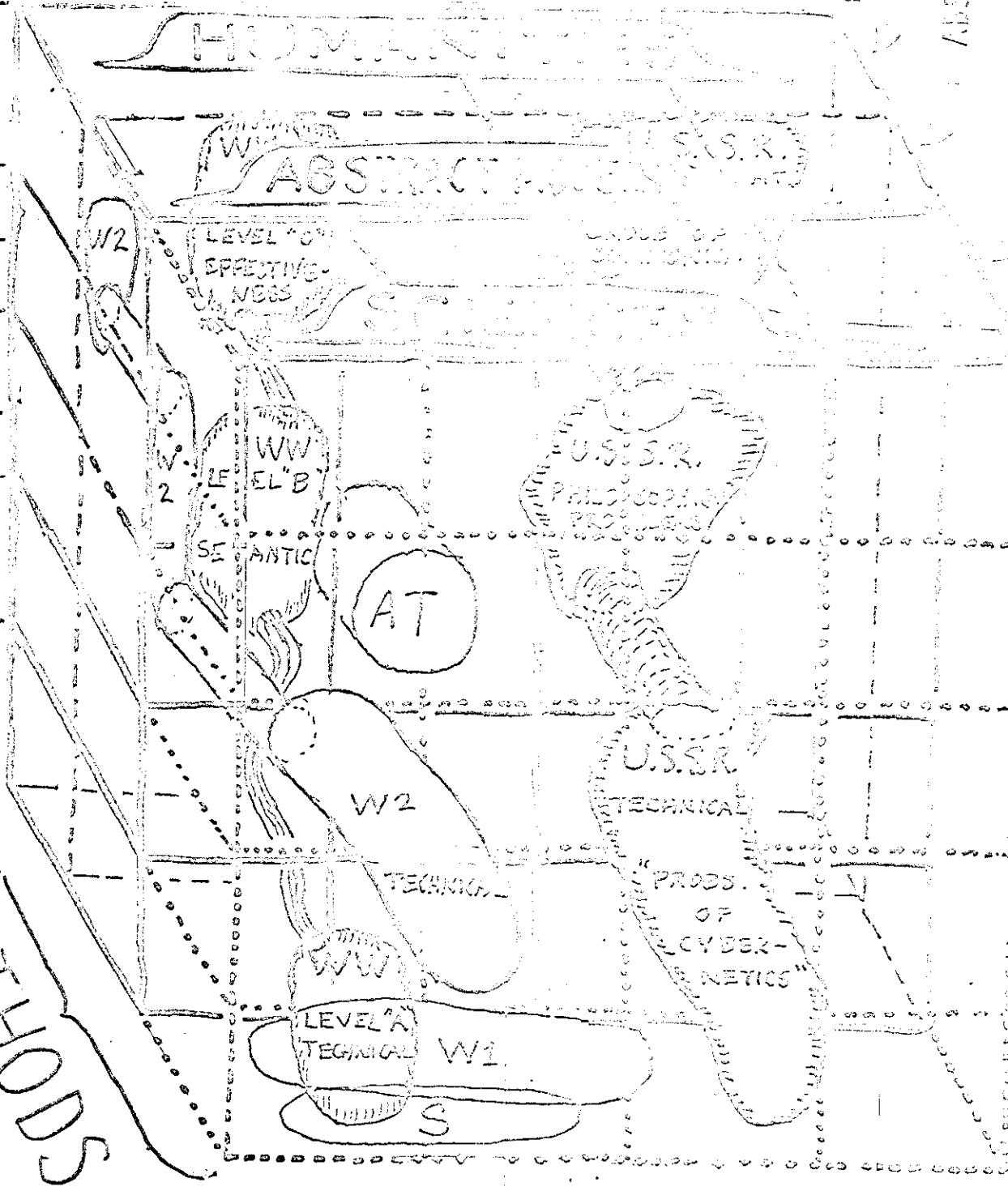


Fig. C. Sample Analyses Which Cross Through the Three Different Methods.

Supplement to SEPR No. 90-A:

In the USSR there appears to be more emphasis on programming algorithms than on computer hardware. In the USA we concentrate on the hardware development and then work on the programming system to utilize the advanced hardware. This makes it difficult for us to evaluate at what stage in the overall development of computer applications the USSR is really at. Perhaps they can compensate for less advanced hardware through more advanced programming techniques.

The Soviet publications Philosophical Problems of Cybernetics and Cybernetics at the Service of Communism, Vol. I, both indicate long range plans to simulate the Soviet economic system on a computer to automate most of their economic planning. If this should be successful, it would give the socialist cause a great boost; but if the Russians eliminate the hierarchy of human feedback loops needed for non-linear corrections to the systems as it functions, the system could be a colossal failure.

It is valuable to see what Soviet thinkers have to say on the social consequences of cybernetics. E. Kol'man has written:

(Abstract) "The real dangers differ in social and capitalist countries. In capitalist nations the greatest real/danger is that cybernetic technology will result in mass unemployment or economic crises which may lead to war. In socialist countries the real danger is that cybernetic technology will bring such a reduction in physical and mental labor that physical and moral degeneration may result." (10)

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10. E. Kol'man, "Cybernetics Raises Questions," 7 Feb 69, 5pp. JPRS; 12788 (OTS 60-15771); Trans. of Nauka i Zhizn' (USSR) 1961, v. 26, no. 5, pp. 43-45. (Abstract in TECHNICAL TRANS. v. 7, no. 10, May 30, 1965)